

Attorney Docket No.: <u>AVARS-02500</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2661

162 N. Wolfe Road Sunnyvale, CA 94086

Customer No.: 28960

(408) 530-9700

TRANSMITTAL LETTER

Examiner:

In re Application of:

Michael A. Lloyd et al.

Serial No.: 10/070,515

Filed: July 25, 2002

For:

METHOD AND APPARATUS FOR

ASSESSMENT AND

OPTIMIZATION OF NETWORK

TRAFFIC

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including a copy of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. <u>08-1275</u>. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted, HAVERSTOCK & OWENS LLP

Dated: 1-25-06

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENSLLP



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Examiner:

CERTIFICATION FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(e)

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

Customer No. 28960

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I, the person signing below, hereby certify that the printed publication contained in the enclosed supplemental information disclosure statement was cited within an Official Action mailed on October 28, 2005. Accordingly, I hereby certify that the reference contained within this supplemental information disclosure statement was not cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, the reference contained within this supplemental information disclosure statement was not known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).

PATENT

Attorney Docket No.: AVARS-02500

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 1 - 25-06

Thomas B. Haverstock Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAJLING (37 CFR§ 1.8(a))

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HAYERSTOCK & OWENS LLP.



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Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Group Art Unit: 2661

Examiner:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

Customer No. 28960

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 6,757,255 B1; and
- U.S. Patent No. 6,820,133 B1.

Attorney Docket No.: <u>AVARS-02500</u>

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 1-25-06

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFRS 1.8(a))

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Date: HAYERSTOCK & OWENS LLP.

Sheet 1 of 1 FORM PTO-1449 (Modified) U.S. Department of Commerce Patent and Trademark Office Attorney Docket No.: AVARS-02500 Serial No.: 10/070,515 INFORMATION STATEMENT BY APPLICANT (Use Several Sheets If Necessary) Applicants: Michael A. Lloyd et al. Filing Date: July 25, 2002 Group Art Unit: 2661 (37 CFR § 1.98(b)) U.S. PATENT DOCUMENTS Serial / Patent Number Examiner Initials Issue Date Applicant / Patentee Class Subclass Filing Date 06/29/04 AA 6,757,255 B1 370 252 07/27/99 Aoki et al. 709 ΑB 6,820,133 B1 11/16/04 238 Grove et al. 03/24/00 AC ΑD ΑE AF AG AΗ ΑI ΑJ FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS Translation Publication Date Class Document Number Country / Patent Office Subclass Yes No ΑK AL AM AN AO OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) ΑP AQ AR

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Examiner:

Date Considered:

EXAMINER:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.